Friction Constitutive Laws and....

The Mechanics of Slow Earthquakes and the Spectrum of Fault Slip Behaviors

Chris Marone, The Pennsylvania State University

John Leeman, Marco Scuderi, Elisa Tinti, Cristiano Collettini, Demian Saffer, Paul Johnson

Cargèse training school Earthquakes: nucleation, triggering, rupture, and relationship with aseismic processes

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Slow Earthquakes -- a view from the lab



The Mechanics of Slow Earthquakes and the Spectrum of Fault Slip Behaviors

- 1. Friction laws: why do we need something as complex as rate/state?
- 2. How do slow earthquakes work? What mechanism sets the speed limit? *Why are they slow?*
- 3. Speculations on how recent lab results may in apply in nature. Scaling laws for a spectrum of slip modes from slows earthquakes to super-shear rupture (SSE, LFE, tremor, VLFE, ULFE, MLFE, BB-eq, elasto-dynamic EQs).

Minimum requirements for a friction law for faulting

Adhesive Theory of Friction (Bowden and Tabor, 1950's)

- Real contact area << nominal area
- Stress at contact junctions is at the inelastic (plastic) yield strength
- Contacts grow with "age"
- Add: Rabinowicz's observations of static/dynamic friction
- "Static" friction is higher than "Dynamic" friction because contacts are older (larger)
- -> implies that contact size decreases as slip velocity increases



Coulomb's law for shear failure $au = C + \mu_i \sigma_n$

Byerlee's Law for Rock Friction

 $\tau = 50[MPa] + 0.6\sigma_n$

MAXIMUM FRICTION



Time dependence of "static" friction Aging of frictional contacts



C. A. Coulomb (1736-1806)



Table 9.1	Coulomb, 1785	
	T (time of repose, min)	A+mT [*] (static friction force, lbf)
I ^{cre} observation	0	A=502
II ^e	2	790
IIIe	4	866
IVe	9	925
V ^e	26	1,036
VI ^c	60	1,186
VII ^c	960	1,535

static friction of two pieces of well-worn oak lubricated with tallow.



Time dependence of friction in rocks; Aging (frictional healing)



Real contact area << nominal area; Contact stresses are high

Contact junctions grow with time (age)



Dieterich and Kilgore [1994]

For many materials:

- friction varies systematically with sliding velocity and
- exhibits transient response when velocity is changed



Dieterich and Kilgore, 1994

Minimum requirements for a friction law for faulting

- Aging (time dependence)
- Velocity dependence (*kinetic* friction varies systematically with sliding velocity)
- Stick-slip motion (repeated failure followed by restrengthening)

Minimum requirements for a friction law for faulting

Friction: slick-slip and stability of sliding



$$\left. egin{array}{ll} \mu = \mu_s & (s=0) \ \mu = \mu_d & (s>0) \end{array}
ight\}$$
 Classical view

Rabinowicz 1951, 1956, 1958: Static vs. dynamic friction & state dependence

- Recognized that finite slip was necessary to achieve fully dynamic slip
- Experiments showed state, memory effects and that µ_d varied with slip velocity.

The Nature of the Static and Kinetic Coefficients of Friction

ERNEST RABINOWICZ

Lubrication Laboratory, Massachusetts Institute of Technology, Cambridge, Massachusetts (Received May 23, 1951)

Experiments have been carried out to determine the transition between static and kinetic conditions when stationary metal surfaces are set into motion, a simple method being used which measures the energy that has to be given to one of the bodies to start it moving. The method is confined to cases in which the static coefficient exceeds the kinetic. Using a load of 1 kg and metal surfaces of various kinds, it is found that the static coefficient persists for distances of the order of 10^{-4} cm, and then gradually falls off to values corresponding to the kinetic coefficient. This behavior is shown to be consistent with a simple model based on the assumption that the friction force is needed to shear metallic junctions formed between the metal surfaces. The action of boundary lubricants is discussed, and it is shown that they can act either by diminishing the metallic interaction directly, or by preventing its increase during the sliding process.



Critical slip distance for changes in friction

Friction: 2nd order variations



Slip weakening friction law Adhesive asperity contacts b a 21 2r Bowden & Tabor, 1950's Rabinowicz, 1951

Aging -friction increases w/ contact time



Static friction is higher than *Dynamic* friction because contacts are older (larger) in "static" state

E. Rabinowicz, Sci. Amer., 109, May 1956.

Adhesive asperity contacts Slip weakening distance

Stick and Slip

When two substances rub against each other, they frequently stick and then slip. The phenomenon accounts for the squeak of bearings, the music of violins and many other sounds of our daily experience

by Ernest Rabinowicz

Brief History of Friction

deserving

positions.

higher salary

ested in hiring rocket and mis-

sile engineers. Through us, you

can completely, confidentially

make arrangements for better

have need for engineers with

virtually every degree of ex-

perience. You'll need an engi-

neering degree, of course. If

you qualify, they are ready to

give you a chance to work with leading engineers and scien-

Right now, these companies

Rabinowicz, 1956 Scientific American



1800's VELOCITY 1950 FRICTION Decision Inc. represents six leading missile firms in the east, VELOCITY VELOCITY midwest and far west-inter-

> EVOLUTION OF THE FRICTION CONCEPT is illustrated. In the late 18th century it was thought that the coefficient of friction remained constant as the relative velocity of the sliding substances was increased (upper left). In the early 19th century it was postulated that there were two kinds of friction: static and kinetic (upper right). Friction was greatest when two substances were moved from a state of rest, and fell off immediately when they began to slide. Around 1940 it was shown that friction fell off gradually with the increase of velocity (lower left). Today it is known that friction first increases with velocity and then falls off (lower right). When the changing relationship between friction and velocity has the slope to the left of the peak in this curve, substances slide steadily. When it has the form of the steeper part of the slope to the right of the peak, stick-slip occurs.

Friction: 2nd order variations, slick-slip and stability of sliding



$$\mu(x) = \mu_s - \frac{x}{L} \Delta \mu$$
 (for $L > x > 0$)
 $\mu(x) = \mu_s - \Delta \mu$ (for $x > L$)



Critical friction distance represents slip necessary to erase existing contact

Adhesive Theory of Friction



Adhesive asperity contacts



Rabinowicz, 1951, 1956

- Contacts age (grow) with time.
- Young contacts are smaller than old contacts.
- Contact age is given by r/V

Minimum requirements for a friction law for faulting

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- -> implies that contact size decreases as slip velocity increases



Minimum requirements for a friction law for faulting



(Marone, 1998) Dieterich, Scholz, Ruina, Rice

Stick-slip



Seismic cycle as repetitive stick slip instability



Fig. 1 (left). Force-displacement curve for the axial direction in a cylindrical sample of Westerly granite. Small diagram above the curve shows schematically how stress was applied to the sample. The sample fractured at point *FR* forming the fault which is shown as a dotted line in the small diagram. The exact shape of the curves during a stress drop (such as ab) is not known and is shown dotted. *P* is confining pressure. Fig. 2 (right). Same as Fig. 1 except that the sample contained a sawcut with finely ground surfaces as shown schematically (small figure) by a heavy line.



Brittle Friction Mechanics, Stick-slip

• Stick-slip (unstable) versus stable shear



Stick-slip dynamics

$$m\ddot{x'} + \Gamma \dot{x'} + f(\dot{x'}, x', t, \theta) = F_s$$

 $m\ddot{x'} + \Gamma \dot{x'} + f(\dot{x'}, x't, \theta) = K(v_{lp} - v)t$
 $m\ddot{x'} + Fx' = K(v_{lp} - v)t$





Johnson and Scholz, 1976

total slip, particle velocity, and acceleration all depend on stress drop



$$v(t)=rac{\Delta \mu N}{\sqrt{Km}}sin\kappa t$$
 $\kappa=\sqrt{rac{K}{m}}$ $t_r=\pi\sqrt{rac{m}{K}}$ slip duration = rise time



$$\Delta x' = \frac{2\Delta\mu N}{K}$$

$$\Delta \sigma = 2(\mu_s - \mu_d)\sigma_n$$

Stick-Slip Instability







Quasistatic Stability Criterion

$$K_c = \frac{\sigma_n(\mu_s - \mu_d)}{L}$$

K<K_c; Unstable, stick-slip

K > K_c; Stable sliding







Repetitive Stick-Slip Instability, like the seismic cycle



Rate (v) and State (θ) Friction Constitutive Laws



Stick-Slip Instability Requires Some Form of Weakening: Velocity Weakening, Slip Weakening, Thermal/hydraulic Weakening

1)
$$\mu(\theta, V) = \mu_o + a \ln\left(\frac{V}{V_o}\right) + b \ln\left(\frac{V_o\theta}{D_c}\right)$$

2) $\frac{d\theta}{dt} = 1 - \frac{V\theta}{D_c}$
 μ
 μ
Stability Criterion
 $K_c = \frac{\sigma_n(b-a)}{D_c} [1 + \frac{mV_o^2}{\sigma_n a D_c}]$
(b > a), K < K_c Unstable, stick-slip
(a > b), K > K_c Stable sliding
 $K_c = \frac{V_0}{D_c} = \frac{V_0}{V_o} [1 + \frac{mV_o^2}{\sigma_n a D_c}]$
 $K/K_c < 1$



Rate (v) and State (θ) Friction Constitutive Laws

1)
$$\mu(\theta, V) = \mu_o + a \ln\left(\frac{V}{V_o}\right) + b \ln\left(\frac{V_o\theta}{D_c}\right)$$

2) $\frac{d\theta}{dt} = 1 - \frac{V\theta}{D_c}$

Convention is to use a, b for friction and A, B for Stress

 $\tau(\theta, v) = \tau_o + A \ln\left(\frac{V}{V_o}\right) + B \ln\left(\frac{V_o\theta}{D_c}\right)$ $A - B = \frac{\Delta\tau}{\Delta \ln V}$ Steady-state velocity strengthening if a-b > 0, velocity weakening if a-b < 0 $\mu = \frac{\Delta\tau}{\log V}$ velocity strengthening $\mu = \frac{\log V}{\log V}$ Rate (v) and State (θ) Friction Constitutive Laws

1)
$$\mu(\theta, V) = \mu_o + a \ln\left(\frac{V}{V_o}\right) + b \ln\left(\frac{V_o\theta}{D_c}\right)$$

Modeling experimental data

3) $rac{d\mu}{dt} = k(V_{lp}-V)$ Elastic Coupling

2) $\frac{d\theta}{dt} = 1 - \frac{V\theta}{D_c}$

$$V = V_o \ exp \left[\frac{\mu - \mu_o - b \ ln(\frac{V_o \theta}{D_c})}{a} \right]$$

Solve:

$$\frac{d\mu}{dt} = k \left(V_{lp} - V_o \ exp\left[\frac{\mu - \mu_o - b \ ln(\frac{V_o \theta}{D_c})}{a} \right] \right)$$
$$\frac{d\theta}{dt} = 1 - \frac{V\theta}{D_c}$$

Measuring the velocity dependence of friction

Frictional Instability Requires (a-b) < 0



Constitutive Modelling Rate and State Friction Law Elastic Interaction, **Testing Apparatus** $\mu(\theta, v) = \mu_0 + a \ln\left(\frac{v}{v_o}\right) + b \ln\left(\frac{v_o \theta}{D_c}\right)$ $\frac{d\theta}{dt} = 1 - \frac{v\theta}{D_c}$ $\theta_{ss} = \frac{D_c}{v}$ $\Delta \mu_{ss} = (a - b) \ln \left(\frac{v}{v_o} \right)$ $\frac{d\mu}{dt} = k' \left(v_{lp} - v \right)$

Perturbations in normal force

Rate and State Friction Theory

$$\begin{split} \mu(\theta, v, \sigma) &= \mu_0 + a \ln\left(\frac{v}{v_o}\right) + b \ln\left(\frac{v_o}{D_c}\right) \\ \frac{d\theta}{dt} &= 1 - \frac{v\theta}{D_c} \qquad Deiterich \ Law \\ \theta &= \theta_o \left(\frac{\sigma_{initial}}{\sigma_{final}}\right)^{\frac{\alpha}{b}} \quad Normal \ Stress \\ \text{(Linker & Dieterich, 1992)} \\ \frac{d\mu}{dt} &= k' \left(v_{lp} - v\right) \qquad Elastic \ Coupling \\ T_c &= 2\pi \frac{D_c}{V} \sqrt{\frac{a}{b-a}} \qquad Critical \ Vibration \\ Period \\ K_c &= \sigma \frac{(b-a)}{D_c} + \frac{m v_0^2 (b-a)}{D_0^2} \qquad Critical \\ Stiffness \end{split}$$
Perturbations in normal force





Frictional response induced by time-dependent fluctuations of the normal loading

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James R. Rice

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 $T_c = 2\pi \frac{D_c}{V} \sqrt{\frac{a}{b-a}}$

Perfettini et al., 2001

Perfettini et al., 2001





Lab: Normal Stress Vibrations Critical period observed





Critical period is ≈ 1 sec.

Boettcher & Marone, JGR, 2004

61

60

59

t (MPa)

(a)

 $\Delta \tau_{yield}$

0

σ

n

τ

5 Time (seconds)

→∆\$

101

100

99

p072

10

 $\sigma_{_{\rm I\!I}}\,(\rm MPa)$

Also, Phase lag.

Friction response lags stressing.

Could explain delayed triggering?





Empirical laws, based on laboratory friction data



Thermally-activated process

$$v = v_o \exp\left(\frac{\mu - \mu_o - b\varphi}{a}\right)$$
$$\dot{\varepsilon} = \dot{\varepsilon}_o \exp\left[-\frac{(Q - \tau_c \Omega)}{kT}\right]$$

The Mechanics of Slow Earthquakes and the Spectrum of Fault Slip Behaviors

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Slow Earthquakes and The spectrum of fault slip behaviors

Ordinary earthquakes, Subduction megathrust earthquakes, Creep events, Tremor, Low frequency earthquakes, Very low frequency earthquakes, Episodic tremor and slip (ETS), Long term slow slip events, Slow Precursors, Geodetic transients

LETTER

doi:10.1038/nature17190

The Parkfield tremors reveal slow and fast ruptures on the same asperity

Deepa Mele Veedu¹ & Sylvain Barbot¹

Veedu & Barbot, 2016



John Leeman



ARTICLE Nature Communications 2016

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DOI: 10.1038/ncomms11104

OPEN

Laboratory observations of slow earthquakes and the spectrum of tectonic fault slip modes

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Marco Scuderi



nature .

geoscience





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Precursory changes in seismic velocity for the spectrum of earthquake failure modes

M. M. Scuderi^{1,2*}, C. Marone³, E. Tinti², G. Di Stefano² and C. Collettini^{1,2}

2016

Slow Earthquakes and the spectrum of fault slip behavior

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Nature Vol. 275 19 October 1978

articles

Slow earthquakes and stress redistribution

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Strainmeters with high sensitivity over long periods have enabled the detection and identification of slow earthquakes: seismic events which produce records similar to those from normal earthquakes except that the time scale for the rupture process is considerably longer. Slow earthquakes provide a mechanism for stress redistribution before normal earthquakes. Stress concentration may take place just hours or days before an earthquake; if it did, this would affect prediction capability. all respects except for slower rupture velocities and longer rise times. Here we describe slow earthquakes which occur separately from normal earthquakes and which were observed on the recently installed borehole strainmeters or on nearby extensometers. Other kinds of data are also included which indicate that the stress buildup before an earthquake may be non-linear in time. In these cases the concentrations of stress seem to occur in a much shorter time preceding the earthquake than that calculated on the basis of magnitude-precursor-time formulae⁶.

Strainmeter waveforms for normal and slow earthquakes

Sacks et al., 1978

Beroza and Jordan, 1990

JOURNAL OF GEOPHYSICAL RESEARCH, VOL. 95, NO. B3, PAGES 2485-2510, MARCH 10, 1990

Searching for Slow and Silent Earthquakes Using Free Oscillations

GREGORY C. BEROZA AND THOMAS H. JORDAN

Department of Earth, Atmospheric and Planetary Sciences Massachusetts Institute of Technology, Cambridge

- Slow earthquakes could represent quasi-dynamic frictional instability (positive feedback, self-driven instability)
- Recent lab work shows repetitive stick-slip instability for the complete spectrum of slip behaviors – A new opportunity to investigate the mechanics of slow slip
- 3. Mechanisms: Why are they slow?
 - Rate dependence of the critical rheologic stiffness Kc
 - Complex behavior near the stability boundary







Biax at Penn State

BRAVA at INGV (Rome) *Collettini Lab*

Double direct shear with biaxial loading and controlled loading stiffness



High-resolution, direct measurements of shear displacement, shear strain, normal strain, stresses



Biaxial testing machine at Penn State



To get slow slip we modify the elastic loading stiffness and take advantage of natural variations in the frictional properties as a function of shear







Repetitive Slow Stick-Slip



Repetitive Slow Stick-Slip



Leeman, Saffer, Scuderi & Marone, Nat. Comm. 2016



Repetitive Slow Stick-Slip

Leeman, Saffer, Scuderi & Marone, Nat. Comm. 2016



Leeman, Saffer, Scuderi & Marone, Nat. Comm. 2016





Leeman, Saffer, Scuderi & Marone, Nat. Comm. 2016



Scuderi, Marone, Tinti, Di Stefano, & Collettini, Nature Geosc. 2016

Stress drop decreases with event duration



Scuderi et al., 2016

Creep events slip less than ordinary earthquakes

Emily E. Brodsky¹ and James Mori²



Mechanics of Frictional Sliding: Stick-slip

Unstable if $K < K_c$



$$K_{c} = \frac{\sigma_{n}(b-a)}{D_{c}} \left[1 + \frac{mV_{o}^{2}}{\sigma_{n}aD_{c}}\right]$$

Stability transition from stable to unstable sliding.





elastic loading stiffness









Double direct shear with biaxial loading

We measure elastic loading stiffness using 2 methods





Shear displacement



Shear displacement





Shear displacement
Repetitive Slow Stick-Slip



Scuderi et al., Geology, 2017



Leeman, Saffer, Scuderi & Marone, Nature Comm. 2016.

We have studied simple conditions (room temp., quartz powder as fault gouge, etc.)



Thinking is that the results illuminate a mechanism that may apply under more general conditions.



Stick–slip as a Mechanism for Earthquakes, Brace and Byerlee, *Science* 1966

Fig. 1 (left). Force-displacement curve for the axial direction in a cylindrical sample of Westerly granite. Small diagram above the curve shows schematically how stress was applied to the sample. The sample fractured at point FR forming the fault which is shown as a dotted line in the small diagram. The exact shape of the curves during a stress drop (such as ab) is not known and is shown dotted. P is confining pressure. Fig. 2 (right). Same as Fig. 1 except that the sample contained a sawcut with finely ground surfaces as shown schematically (small figure) by a heavy line.

26 AUGUST 1966

991



1970 with some new data).

1. Slow earthquakes as a quasi-dynamic frictional instability

2. Mechanisms: Why are they slow?

- Rate dependence of the critical rheologic stiffness Kc
- Slow frictional stick-slip near the stability boundary
 Fault zone energy release rate equals frictional weakening rate
 Stress drop is quasidynamic because the dynamic force imbalance is negligible

The Mechanics of Slow Earthquakes and the Spectrum of Fault Slip Behaviors

3. Speculations on how recent lab results may in apply in nature. Scaling laws for a spectrum of slip modes from slows earthquakes to super-shear rupture (SSE, LFE, tremor, VLFE, ULFE, MLFE, BB-eq, elasto-dynamic EQs). Speculations on how recent lab results may in apply in nature.

Where should slow earthquakes occur?

tohoku2-bloc_diagramme_japan_earthquakes

Slip is unstable if

 $K < K_c$

Complex behavior near the stability boundary

 $K_c \approx \frac{\sigma_n (b-a)}{D_c}$

Scholz, 1998

- Complex slip modes near the stability boundary
- Slow slip should occur at the updip and downdip limits of the seismogenic zone

Unstable Cond. stable Stable

FAULT CREEP AT THE ALMADEN-CIENEGA WINERY, SAN BENITO COUNTY

DON TOCHER AND ROBERT NASON

ESSA/Earthquake Mechanism Laboratory San Francisco, California

In April 1956, Edwin G. Zacher of the Pacific Fire Rating Bureau noticed fractures and displacements in the Almaden-Cienega Winery on Cienega Road about nine miles south of Hollister, California (Steinbrugge and Zacher, 1960). According to the geologic map of Taliaferro (1949), the winery is situated on the main trace of the San Andreas fault. Investigation showed that the fractures and displacements have resulted from gradual rightlateral movement on a zone of fault creep (fig. 1).

The present winery building was constructed in 1948 to replace an older building on the same site. The new building was constructed with concrete slab floors and reinforced concrete walls. In 1954, many of the columns near the line of creep had to be rebuilt. By 1956, the concrete walls and slabs had been offset 4 inches. Winery employees were aware of the growth of the damage, but the growth was slow and gave no alarm.

Since 1956, the right-lateral displacement has increased by nearly $\frac{1}{2}$ inch per year (Tocher, 1960). Recorders have shown that most of the displacement occurs in "events" of several days to a week's duration (fig. 2). Most of the creep events did not begin at the time of local earthquakes. Sudden fault movement did occur at the time of sharp local earthquakes in 1960 and 1961. Three millimeters of sudden offset occurred during the magnitude 5.0 earthquake of January 20, 1960, and 11 mm offset occurred in the "twin" earthquakes (magnitudes 53/4 and 51/2) of April 9, 1961. After the 1961 earthquake, the creep rate was less than usual for several years.

Features of particular interest at the winery site are:

- The line of springs and wet ground along the San Andreas fault north and south of the winery.
- The damage to the main winery building, particularly the displaced floor slabs.
- The twisted cover on springs behind the tasting room.
- The fracture and right-lateral offset of the concrete drainage channel (constructed about 1943) south of the winery.
- The right-lateral offset of the rows of vines south of the winery.

Continuous slippage (creep) is now known to be occurring along the San Andreas fault north and south of the winery. The winery creep rate of about half an inch per year compares with a rate of about one-quarter inch per year just north of San Juan Baptista. Survey lines across the San Andreas fault near San Benito and Bitterwater (see roadlogs) have been offset at a rate of about one inch per year, or twice the creep rate at the winery.

AAPG, 1967 Gabilan Range & Adjacent San Andreas Fault Guidebook

The Spectrum of Fault Slip Behaviors

Marone & Saffer, 2008 Speculations on how lab results may in apply in nature.

Source Parameter and Scaling Relations

Source Parameter and Scaling Relations for Ordinary Earthquakes

Source Parameter and Scaling Relations for Ordinary Earthquakes

 $\Delta \sigma = \frac{7\pi}{16} G \frac{\bar{u}}{r}$ $M_o = G\bar{u}A$ $M_o = C\Delta\sigma r^3$ "Brune" Stress Drop

Source Parameter and Scaling Relations for Ordinary Earthquakes

$$\Delta \sigma = \frac{7\pi}{16} G \frac{\bar{u}}{r}$$
$$M_o = G \bar{u} A$$
$$M_o = C \Delta \sigma r^3$$
$$V_r = \frac{r}{T}$$
$$M_o = C \Delta \sigma V_r^3 T^3$$

Source Parameter and Scaling Relations for Ordinary Earthquakes

Ide et al., 2007; Peng and Gomberg, 2010

Ordinary Earthquakes V_r is a few km/s

Ide et al., 2007; Peng and Gomberg, 2010

Nucleation Size for Regular Earthquakes

Unstable slip if $K = \frac{\Delta\sigma}{\bar{u}} = \frac{7\pi}{16} \frac{G}{r}$ $K < K_c$ = 0.25 η

Nucleation Size for Regular Earthquakes

Rupture Patch Size for Slow Earthquakes?

Slow earthquake nucleation when $\frac{K}{K_c} \approx 1.0$

$$h^{\star} = \mathbf{r_c} = \frac{GD_c}{\sigma_n(b-a)}$$

Slow slip events propagate at size r < h*

Slow slip patch size Tremor generating Critical size for earthquake rupture slip patches Volcanic arc

Richardson and Marone, 2008

Slow slip events propagate at size r < h*

Richardson and Marone, 2008

Summary

- 1. Slow earthquakes and fast, normal earthquakes are part of the spectrum of fault slip behaviors (slip modes)
- 2. We produce lab slow earthquakes by matching loading stiffness and frictional rheology
- 3. We observe the full spectrum of slip rates from fast to slow, near the stability boundary
- Stick-slip stress drop is lower for slower events and decreases with slip event speed – the same as for slow vs. regular earthquakes

The Mechanics of Slow Earthquakes and the Spectrum of Fault Slip Behaviors

Thank You

